

# New Investment Application

**Retail Clients - Personal Investors.** Please use BLOCK LETTERS in black or blue pen.

Before making a decision to invest with us, please ensure that you have read and understood our *Financial Services Guide* and *Product Disclosure Statement*. These documents explain the terms and conditions of our products including key features, risks and potential costs.

If you are signing this application under a Power of Attorney, please attach a certified copy of the document to this form. In your capacity as an attorney, you may also be required to complete an *Identity Verification Form* if you are not already known to us.

**Please return your completed form to our office:**

**In person:**  
Level 2, 212 Pirie Street  
Adelaide

**By mail:**  
GPO Box 2145  
Adelaide SA 5001

**Note that emailed copies of this document will not be accepted.**

**Need help or have a question?**

**Call:** 1300 274 151

**Email:** info@ucinvest.com.au

**Visit:** ucinvest.com.au

## STEP 1A:

### Your Details – Investor A

Title \_\_\_\_\_

First Name \_\_\_\_\_

Middle Name \_\_\_\_\_

Surname \_\_\_\_\_

Date of Birth DD / MM / YYYY \_\_\_\_\_

Mother's Maiden Name \_\_\_\_\_

#### Residential Address

Address \_\_\_\_\_

Suburb \_\_\_\_\_

State \_\_\_\_\_ Postcode \_\_\_\_\_

#### Postal Address (if different to your residential address)

Address \_\_\_\_\_

Suburb \_\_\_\_\_

State \_\_\_\_\_ Postcode \_\_\_\_\_

#### Contact Details

Telephone \_\_\_\_\_

Mobile \_\_\_\_\_

Fax \_\_\_\_\_

Email \_\_\_\_\_

#### Tax File Number

You are not legally obliged to provide your Tax File Number; however where it is not provided we are required to withhold tax at the highest marginal tax rate plus Medicare levy from any interest payments.

Tax File Number \_\_\_\_\_ - \_\_\_\_\_

Exemption/Pension Type \_\_\_\_\_

#### Account Access Options

Telephone Transfer Service (TTS)  
Transactions requested via the TTS will only occur between this investment and the **Nominated Account** in **Step 3** of this application.

UC Invest Online (UCIO) Limit \$  
A default daily withdrawal limit of \$5,000 per day applies for transfers to accounts with external financial institutions. UCIO access is not available for all account types or members.

## STEP 1B:

### Your Details – Investor B (Joint Account Holder)

Title \_\_\_\_\_

First Name \_\_\_\_\_

Middle Name \_\_\_\_\_

Surname \_\_\_\_\_

Date of Birth DD / MM / YYYY \_\_\_\_\_

Mother's Maiden Name \_\_\_\_\_

#### Residential Address

Address \_\_\_\_\_

Suburb \_\_\_\_\_

State \_\_\_\_\_ Postcode \_\_\_\_\_

#### Postal Address (if different to your residential address)

Address \_\_\_\_\_

Suburb \_\_\_\_\_

State \_\_\_\_\_ Postcode \_\_\_\_\_

#### Contact Details

Telephone \_\_\_\_\_

Mobile \_\_\_\_\_

Fax \_\_\_\_\_

Email \_\_\_\_\_

#### Tax File Number

You are not legally obliged to provide your Tax File Number; however where it is not provided we are required to withhold tax at the highest marginal tax rate plus Medicare levy from any interest payments.

Tax File Number \_\_\_\_\_ - \_\_\_\_\_

Exemption/Pension Type \_\_\_\_\_

#### Account Access Options

Telephone Transfer Service (TTS)  
Transactions requested via the TTS will only occur between this investment and the **Nominated Account** in **Step 3** of this application.

UC Invest Online (UCIO) Limit \$  
A default daily withdrawal limit of \$5,000 per day applies for transfers to accounts with external financial institutions. UCIO access is not available for all account types or members.

## STEP 2:

### Signing Instructions for Joint Accounts ONLY

If this section is not completed we will nominate that **ONLY ONE** signature is required to authorise any future transactions.

If you register for the Telephone Transfer Service (TTS) or UC Invest Online (UCIO) in **Step 1**, any transactions by default will only require one account holder to authorise.

- One signature required
- Two signatures required

## STEP 3:

### Your Nominated Account

A Nominated Account is your external account at another financial institution where we will:

1. Send any interest payments  
*If requested in **Step 4***
2. Debit your investment's opening amount  
*If requested in **Step 5***
3. Debit or credit an amount that you request via the Telephone Transfer Service (TTS)  
*If you register to use this service in **Step 1***

The TTS uses a standing Direct Debit Request which authorises UC Invest to debit funds from your **Nominated Amount** to this investment. The terms and conditions for Direct Debit Requests and the TTS are contained in the UC Invest *Product Disclosure Statement* which is available on our website [ucinvest.com.au](http://ucinvest.com.au).

Financial Institution \_\_\_\_\_

BSB Number \_\_\_\_\_

*Must be 6 digits*

Account Number \_\_\_\_\_

*Maximum of 9 digits*

Account Holder \_\_\_\_\_

*Must be held in the name of at least one account holder*

## STEP 4:

### Investment Selection

Please tick your choice of investment from the following list:

**31 Day Notice Account**

Opening Amount \$ \_\_\_\_\_ .

*No minimum balance*

Interest will be credited to your account on the last day of each month.

You must provide at least 31 days notice of any withdrawal.

**Fixed Term Investment**

Opening Amount \$ \_\_\_\_\_ .

*Minimum amount is \$1,000*

Invest for   months

OR

Specify a maturity date   /   /

For terms less than 12 months, interest is paid at maturity.

For terms greater than 12 months, interest is paid six monthly and at maturity.

**Interest Payment Options**

Add to this investment (default option)

or

Pay interest to my/our UC Invest account

Account Number \_\_\_\_\_

Pay interest to my/our **Nominated Account** with the financial institution listed in **Step 3** of this application

**Funeral Fund**

Opening Amount \$ \_\_\_\_\_ .

*Minimum amount is \$100*

A Funeral Fund account can only be opened in one name. Please complete a separate New Account Application Form for each applicant who requires a Funeral Fund account.

Funds invested in a Funeral Fund account cannot be accessed prior to the death of the account holder.

Capital contributions may be added at any time up to the maximum amount determined by the Federal Government.

## STEP 5:

### Funding Your New Investment

**Cheque**

Please make cheque payable to the applicant(s) applying for this investment.

**Direct Debit Request**

Please complete and sign the Direct Debit Request below to enable the opening balance amount(s) listed in **Step 4** to be withdrawn from an existing account with either UC Invest or another financial institution.

The terms and conditions for Direct Debit Requests are contained in the *Product Disclosure Statement*, available on our website [ucinvest.com.au](http://ucinvest.com.au).

Please debit my/our opening balance from:

- My/our UC Invest account \_\_\_\_\_
- My/our Nominated Account in **Step 3**
- The financial institution listed below

Financial Institution \_\_\_\_\_

BSB Number \_\_\_\_\_ - \_\_\_\_\_

*Must be 6 digits*

Account Number \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

*Maximum of 9 digits*

Account Holder \_\_\_\_\_

*Must be held in the name of at least one account holder*

I/We authorise UC Invest (Debit User IDs 520962 and 332875) to debit the opening balance amounts detailed in **Step 4** from my/our UC Invest account or external bank account noted above.

#### Authorised Signatory

Sign here

Name \_\_\_\_\_

#### Authorised Signatory

Sign here

Name \_\_\_\_\_

#### Office use only:

Received \_\_\_\_\_

Processed \_\_\_\_\_

Account \_\_\_\_\_

## STEP 6:

### Investor Authorisation

I/We request UC Invest to issue the above noted debentures and I/we declare that:

- The details I/we have provided in this application are true and correct.
- I/We understand that this application may be accepted or rejected by UC Invest in its absolute discretion.
- I/We have received, read and accept the terms and conditions contained within the *Financial Services Guide (FSG)* and *Product Disclosure Statement (PDS)*.
- I/We have previously supplied UC Invest with an *Investor Acknowledgement Statement* or a signed *Investor Acknowledgement Statement* accompanies this application.
- I/We consent to the collection, use and disclosure of personal information for the purposes shown in the Notice to Investors / Authorised Signatories.
- I/We undertake to provide UC Invest with any additional information or documentation that UC Invest may request at a future time to ensure compliance with the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (Cth).

#### Investor A

Sign here

Name \_\_\_\_\_

Date DD / MM / YYYY

#### Investor B (Joint Account Holder ONLY)

Sign here

Name \_\_\_\_\_

Date DD / MM / YYYY

## Identity Verification

If you are not already a signatory to an account with UC Invest, you will need to complete an *Identity Verification Form*. A copy of this form can be requested from our office or downloaded from [ucinvest.com.au](http://ucinvest.com.au).

#### APPLICATION CHECKLIST

- Have you signed this application?
- Have you completed an *Identity Verification Form* if you are investing with UC Invest for the first time?
- Have you signed an *Investor Acknowledgement Statement* if you are investing with UC Invest for the first time?

## Notice to Investors / Authorised Signatories

**Privacy Notice:** The Privacy Act allows UC Invest to disclose personal information about you when related to the primary purpose of providing our products and services to you and managing our business. Personal information may include details to identify you and verify your identity, such as your name, date of birth and current address. If you do not provide us with the personal information that we request, we may not be able to consider your application or provide other services.

In some circumstances, we will need to provide your details to another organisation within Australia. They include external service providers to us, such as organisations which we use to verify your identity, payment systems operators, our lawyers and auditors, your representative for example, lawyer, financial advisor or attorney, as authorised by you, or government and regulatory authorities if required.

We will not disclose your personal information to third parties overseas. You represent that if at any time you supply us with personal information about another person, you are authorised to do so; and you agree to inform that person who we are, how to contact us, and how to obtain our Privacy Policy, and that we will use and disclose their personal information for the purposes set out in this Notice and that they can gain access to that information by contacting us.

We take all reasonable steps to ensure that all your personal information held by us, is protected from misuse, interference and loss, and from unauthorised access, disclosure or modification. Our Privacy Policy which is available at [ucinvest.com.au](http://ucinvest.com.au) provides additional information about how we handle your personal information.

**Anti-Money Laundering and Counter-Terrorism Financing (AML/CTF) Act 2006:** By signing this application form you are providing your express consent to collect personal information from you as required by the AML/CTF Act and that UC Invest may take steps to verify the personal information it has collected. You consent to the collection, use, handling, disclosure and verification of information, including the use of on-line verification, as required by law. Where UC Invest utilises on-line verification, it will only use the assessment provided by the Reporting Body for the purpose of verifying your identity in accordance with the AML/CTF Act.

**Important Information:** Uniting Church SA Investment Fund Ltd ACN 620 095 472 AFSL 501022 ('the Fund') is a public company, limited by guarantee and registered under the Corporations Act 2001. The Fund exists for the charitable purpose of advancing religion by supporting the mission of the Uniting Church in Australia.

The Fund is not prudentially supervised by the Australian Prudential Regulation Authority. Therefore, an investor in the Fund will not receive the benefit of the financial claims scheme or depositor protection provisions of the Banking Act 1959. Investments in the Fund are intended to be a means for investors to support the charitable purposes of the Fund.

The Fund is required by law to advise investors that investments in it are only intended to attract investors whose primary purpose for making their investment is to support the charitable purposes of the Fund, that investors may be unable to get some or all of their money back when they expect or at all, that investments are not subject to the usual protections for investors under the Corporations Act 2001 or regulation by the Australian Securities and Investments Commission (ASIC), and that the investment is not comparable to investments with banks, finance companies or fund managers.

The Fund holds an Australian Financial Services licence (AFSL) number 501022 authorising it to deal in, and provide general financial product advice in relation to, securities (which include debentures) and non-cash payment products. It also operates under an instrument made by ASIC the effect of which is to exempt it from the requirement to comply with Parts 6D.2 and 6D.3 of the Corporations Act 2001 in relation to offers to issue debentures (ASIC Corporations (Charitable Investment Fundraising) Instrument 2016/813). Our Charitable Investment Fundraiser Identification Statement provides more information and explains how investment funds are used to support the charitable purposes of the Fund.

### UC Invest

A trading name of Uniting Church SA Investment Fund Ltd

ABN: 46 620 095 472 | AFSL: 501022

### Registered Office

Level 2, 212 Pirie Street, Adelaide SA 5000

GPO Box 2145, Adelaide SA 5001

Call: 1300 274 151

Email: [info@ucinvest.com.au](mailto:info@ucinvest.com.au)