

New Investment Application

- Retail Clients - Company, Trust & Other Entities

Please use BLOCK LETTERS in black or blue pen.

Before making a decision to invest with us, please ensure that you have read and understood our *Financial Services Guide* and *Product Disclosure Statement*. These documents explain the terms and conditions of our products including key features, risks and potential costs.

All new signatories to UC Invest must complete an *Identity Verification Form* prior to being recognised as a signatory.

Please return your completed form to our office:

In person:
Level 2, 212 Pirie Street
Adelaide

By mail:
GPO Box 2145
Adelaide SA 5001

Note that emailed or faxed copies of this document will not be accepted.

Need help or have a question?

Call: 1300 274 151

Email: info@ucinvest.com.au

Visit: ucinvest.com.au

STEP 1:

Your Organisation's Details

Name of company, trust or association

ACN / ABN - - -

Registered Office (cannot be a PO Box)

Address

Suburb

State Postcode

Postal Address (if different to your registered office)

Address

Suburb

State Postcode

Contact Details

Telephone

Mobile

Fax

Email

Nature of business

Type of Entity & Required Verification Documents

- Domestic Company**
Please provide Certificate of Registration of Company
- Trust**
Please provide Trust Deed, Grant of Probate or other document establishing the trust
- Incorporated Association**
Please provide Certificate of Incorporation and the name and address of the Chairperson, Secretary, Treasurer or equivalent officers
- Unincorporated Association**
Please provide the Rules, Constitution and/or Minutes of Meeting and the name and address of the Chairperson, Secretary, Treasurer or equivalent officers

STEP 2:

Investment Selection

Please tick your choice of investment from the following list:

31 Day Notice Account

Opening Amount \$ _____

No minimum balance

Interest will be credited to your account on the last day of each month.

You must provide at least 31 days notice of any withdrawal.

Fixed Term Investment

Opening Amount \$ _____

Minimum amount is \$1,000

Invest for _____ months

OR

Specify a maturity date DD / MM / YYYY

For terms less than 12 months, interest is paid at maturity.

For terms greater than 12 months, interest is paid six monthly and at maturity.

Interest Payment Options

- Add to this investment (default option)
- or
- Pay interest to my/our UC Invest account
Account Number _____
- Pay interest to my/our **Nominated Account** with the financial institution listed in **Step 3** of this application

STEP 3:

Funding Your New Investment

Cheque

Please make cheque payable to the applicant(s) applying for this investment.

Direct Debit Request

Please complete and sign the Direct Debit Request below to enable the opening balance amount(s) listed in **Step 2** to be withdrawn from an existing account with either UC Invest or another financial institution.

The terms and conditions for Direct Debit Requests are contained in the *Product Disclosure Statement*, available on our website ucinvest.com.au.

Please debit my/our opening balance from:

- Our UC Invest account
Account Number _____
- Our Nominated Account in **Step 4**
- The financial institution listed below

Financial Institution _____

BSB Number _____
Must be 6 digits

Account Number _____
Maximum of 9 digits

Account Holder _____
Must be held in the same name as the account holder

I/We authorise UC Invest (Debit User IDs 520962 and 332875) to debit the opening balance amounts detailed in **Step 2** from our UC Invest account or external bank account noted above.

Authorised Signatory

Sign here

Name _____

Authorised Signatory

Sign here

Name _____

STEP 4:

Your Nominated Account

A Nominated Account is your external account at another financial institution where we will:

1. Send any interest payments
(If requested in Step 2)
2. Debit your investment's opening amount
(If requested in Step 3)
3. Debit or credit an amount that you request via the Telephone Transfer Service (TTS)
(If you register to use this service in Step 6)

The TTS uses a standing Direct Debit Request which authorises UC Invest to debit funds from your **Nominated Amount** to this investment. The terms and conditions for Direct Debit Requests and the TTS are contained in the UC Invest *Product Disclosure Statement* which is available on our website ucinvest.com.au.

Financial Institution _____

BSB Number _____
Must be 6 digits

Account Number _____
Maximum of 9 digits

Account Holder _____
Must be held in the name of at least one account holder

STEP 5:

Signing Instructions for Your Organisation

If this section is not completed we will nominate that **TWO SIGNATURES** are required to authorise any future transactions.

If any signatories are registered for the Telephone Transfer Service (TTS) in **Step 6** below, any TTS transactions by default will only be authorised by one signatory.

- One signature required
- OR**
- Two signatures required

STEP 6:

Details of Authorised Signatories for this Investment

We acknowledge having received, read and understood the *Financial Services Guide* and *Product Disclosure Statement*.

Signatory 1 – Primary Contact Person

You will receive all correspondence in relation to this investment and we will contact you in the first instance if we need to discuss this account.

Title _____
First Name _____
Middle Name _____
Surname _____
Position (if applicable) _____
Date of Birth DD / MM / YYYY _____

Residential Address

Address _____
Suburb _____
State _____ Postcode _____

Postal Address (if different to your residential address)

Address _____
Suburb _____
State _____ Postcode _____

Contact Details

Telephone _____
Mobile _____
Fax _____
Email _____

Account Access Options

- Telephone Transfer Service (TTS)
Transactions requested via the TTS will only occur between this investment and the **Nominated Account** in **Step 4** of this application.
- UC Invest Online (UCIO) Limit \$ _____
A default daily withdrawal limit of \$5,000 per day applies for transfers to accounts with external financial institutions. UCIO access is not available for all account types or members.

I consent to the collection, use and disclosure of personal information for the purposes shown in the Notice to Investors / Authorised Signatories.

- Please do not send me marketing information about other products or services offered by UC Invest

Authorised Signatory 1 - PRIMARY CONTACT

Sign here _____

Date DD / MM / YYYY _____

Signatory 2

Title _____
First Name _____
Middle Name _____
Surname _____
Position (if applicable) _____
Date of Birth DD / MM / YYYY _____

Residential Address

Address _____
Suburb _____
State _____ Postcode _____

Postal Address (if different to your residential address)

Address _____
Suburb _____
State _____ Postcode _____

Contact Details

Mobile _____
Email _____

Account Access Options

- Telephone Transfer Service (TTS)
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Authorised Signatory 2

Sign here _____

Date DD / MM / YYYY _____

STEP 6: continued

Signatory 3

Title _____
First Name _____
Middle Name _____
Surname _____
Position (if applicable) _____
Date of Birth DD / MM / YYYY _____

Residential Address

Address _____
Suburb _____
State _____ Postcode _____

Postal Address (if different to your residential address)

Address _____
Suburb _____
State _____ Postcode _____

Contact Details

Mobile _____
Email _____

Account Access Options

- Telephone Transfer Service (TTS)
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Authorised Signatory 3

Sign here _____

Date DD / MM / YYYY _____

Signatory 4

Title _____
First Name _____
Middle Name _____
Surname _____
Position (if applicable) _____
Date of Birth DD / MM / YYYY _____

Residential Address

Address _____
Suburb _____
State _____ Postcode _____

Postal Address (if different to your residential address)

Address _____
Suburb _____
State _____ Postcode _____

Contact Details

Mobile _____
Email _____

Account Access Options

- Telephone Transfer Service (TTS)
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Authorised Signatory 4

Sign here _____

Date DD / MM / YYYY _____

STEP 6: continued

Signatory 5

Title _____
First Name _____
Middle Name _____
Surname _____
Position (if applicable) _____
Date of Birth DD / MM / YYYY _____

Residential Address

Address _____
Suburb _____
State _____ Postcode _____

Postal Address (if different to your residential address)

Address _____
Suburb _____
State _____ Postcode _____

Contact Details

Mobile _____
Email _____

Account Access Options

- Telephone Transfer Service (TTS)
Transactions requested via the TTS will only occur between this investment and the **Nominated Account** in **Step 4** of this application.
- UC Invest Online (UCIO) Limit \$ _____
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- Please do not send me marketing information about other products or services offered by UC Invest

Authorised Signatory 5

Sign here _____

Date DD / MM / YYYY _____

Signatory 6

Title _____
First Name _____
Middle Name _____
Surname _____
Position (if applicable) _____
Date of Birth DD / MM / YYYY _____

Residential Address

Address _____
Suburb _____
State _____ Postcode _____

Postal Address (if different to your residential address)

Address _____
Suburb _____
State _____ Postcode _____

Contact Details

Mobile _____
Email _____

Account Access Options

- Telephone Transfer Service (TTS)
Transactions requested via the TTS will only occur between this investment and the **Nominated Account** in **Step 4** of this application.
- UC Invest Online (UCIO) Limit \$ _____
A default daily withdrawal limit of \$5,000 per day applies for transfers to accounts with external financial institutions. UCIO access is not available for all account types or members.

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- Please do not send me marketing information about other products or services offered by UC Invest

Authorised Signatory 6

Sign here _____

Date DD / MM / YYYY _____

STEP 7:

Authorisation to open account

I/We request UC Invest to issue the above noted debenture(s) and I/we declare that:

- The details provided in this application are true and correct.
- I/we are authorised to provide instructions on behalf of the entity named in **Step 1** to open the above noted account(s).
- I/We understand that this application may be accepted or rejected by UC Invest in its absolute discretion.
- I/We have received, read and accept the terms and conditions contained within the *Financial Services Guide (FSG)* and *Product Disclosure Statement (PDS)*.
- I/We have previously supplied UC Invest with an *Investor Acknowledgement Statement* or a signed *Investor Acknowledgement Statement* accompanies this application.
- I/We consent to the collection, use and disclosure of personal information for the purposes shown in the *Notice to Investors / Authorised Signatories*.
- I/We undertake to provide UC Invest with any additional information or documentation that UC Invest may request at a future time to ensure compliance with the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (Cth)*.

Authorised Signatory

Sign here

Name

Date DD / MM / YYYY

Authorised Signatory

Sign here

Name

Date DD / MM / YYYY

APPLICATION CHECKLIST

- Have all authorised signatories signed this application in **Step 6**?
- Has the final account opening authorisation in **Step 7** been signed?
- Has each signatory completed an *Identity Verification Form* if they have not already been identified by UC Invest?
- Have you attached your organisation's required verification documents to this application?
- Have you signed an *Investor Acknowledgement Statement* if you are investing with UC Invest for the first time?

Notice to Investors / Authorised Signatories

Privacy Notice: The Privacy Act allows UC Invest to disclose personal information about you when related to the primary purpose of providing our products and services to you and managing our business. Personal information may include details to identify you and verify your identity, such as your name, date of birth and current address. If you do not provide us with the personal information that we request, we may not be able to consider your application or provide other services.

In some circumstances, we will need to provide your details to another organisation within Australia. They include external service providers to us, such as organisations which we use to verify your identity, payment systems operators, our lawyers and auditors, your representative for example, lawyer, financial advisor or attorney, as authorised by you, or government and regulatory authorities if required.

We will not disclose your personal information to third parties overseas. You represent that if at any time you supply us with personal information about another person, you are authorised to do so; and you agree to inform that person who we are, how to contact us, and how to obtain our Privacy Policy, and that we will use and disclose their personal information for the purposes set out in this Notice and that they can gain access to that information by contacting us.

We take all reasonable steps to ensure that all your personal information held by us, is protected from misuse, interference and loss, and from unauthorised access, disclosure or modification. Our Privacy Policy which is available at ucinvest.com.au provides additional information about how we handle your personal information.

Anti-Money Laundering and Counter-Terrorism Financing (AML/CTF) Act 2006: By signing this application form you are providing your express consent to collect personal information from you as required by the AML/CTF Act and that UC Invest may take steps to verify the personal information it has collected. You consent to the collection, use, handling, disclosure and verification of information, including the use of on-line verification, as required by law. Where UC Invest utilises on-line verification, it will only use the assessment provided by the Reporting Body for the purpose of verifying your identity in accordance with the AML/CTF Act.

Important Information: Uniting Church SA Investment Fund Ltd ACN 620 095 472 AFSL 501022 ('the Fund') is a public company, limited by guarantee and registered under the Corporations Act 2001. The Fund exists for the charitable purpose of advancing religion by supporting the mission of the Uniting Church in Australia.

The Fund is not prudentially supervised by the Australian Prudential Regulation Authority. Therefore, an investor in the Fund will not receive the benefit of the financial claims scheme or depositor protection provisions of the Banking Act 1959. Investments in the Fund are intended to be a means for investors to support the charitable purposes of the Fund.

The Fund is required by law to advise investors that investments in it are only intended to attract investors whose primary purpose for making their investment is to support the charitable purposes of the Fund, that investors may be unable to get some or all of their money back when they expect or at all, that investments are not subject to the usual protections for investors under the Corporations Act 2001 or regulation by the Australian Securities and Investments Commission (ASIC), and that the investment is not comparable to investments with banks, finance companies or fund managers.

The Fund holds an Australian Financial Services licence (AFSL) number 501022 authorising it to deal in, and provide general financial product advice in relation to, securities (which include debentures) and non-cash payment products. It also operates under an instrument made by ASIC the effect of which is to exempt it from the requirement to comply with Parts 6D.2 and 6D.3 of the Corporations Act 2001 in relation to offers to issue debentures (ASIC Corporations (Charitable Investment Fundraising) Instrument 2016/813). Our Charitable Investment Fundraiser Identification Statement provides more information and explains how investment funds are used to support the charitable purposes of the Fund.

UC Invest

A trading name of Uniting Church SA Investment Fund Ltd

ABN: 46 620 095 472 | AFSL: 501022

Registered Office

Level 2, 212 Pirie Street, Adelaide SA 5000

GPO Box 2145, Adelaide SA 5001

Call: 1300 274 151

Fax: 08 8236 4250

Email: info@ucinvest.com.au