

New Investment Application

Retail Clients – Personal Investors

ucinvest

Before deciding to invest with us, please ensure that you have read and understood our *Financial Services Guide* and *Product Disclosure Statement*. These documents explain the terms and conditions of our products including key features, risks and potential costs.

If you are signing this application under a Power of Attorney, please attach a certified copy of the document to this form.

In your capacity as an attorney, you may also be required to complete an *Identity Verification Form* if you are not already known to us.

Please return your completed form to our office

In person
Level 2, 212 Pirie Street
Adelaide

By mail
GPO Box 2145
Adelaide SA 5001

Please note that emailed copies of this document will not be accepted

Need help or have a question?

Call: 1300 274 151

Email: info@ucinvest.com.au

Visit: ucinvest.com.au

Application checklist

Have you signed this application in:

☐ **Step 6** – Funding your new investment?

☐ **Step 7** – Final account opening authorisation?

Are you investing with UC Invest for the first time?

If yes, have you completed:

☐ *An Identity Verification Form?*

☐ *An Investor Acknowledgement Statement?*

1. Your details

1A – Investor A

Title Given names

Surname Date of birth / /

Mother's maiden name

Residential address

Address

Suburb State Postcode

Postal address *(if different to your residential address)*

Address

Suburb State Postcode

Contact details

Mobile Landline

Email

Tax File Number

You are not legally obliged to provide your Tax File Number, however where it is not provided, we are required to withhold tax at the highest marginal tax rate plus Medicare levy from any interest payments.

Tax File Number Exemption / Pension type

Account access options

UC Invest Online (UCIO) ☐ View only

You must have a mobile phone registered to access UC Invest Online. Single use SMS codes are used to protect your security.

Telephone Transfer Service (TTS) ☐ Yes

*Transactions requested via the TTS will only occur between this investment and your **Nominated Account** in **Step 4** of this application.*

continued overleaf »

1B – Investor B (Joint account holder)

Title Given names

Surname Date of birth / /

Mother's maiden name

Residential address

Address

Suburb State Postcode

Postal address (if different to your residential address)

Address

Suburb State Postcode

Contact details

Mobile Landline

Email

Tax File Number

You are not legally obliged to provide your Tax File Number, however where it is not provided, we are required to withhold tax at the highest marginal tax rate plus Medicare levy from any interest payments.

Tax File Number Exemption / Pension type

Account access options

UC Invest Online (UCIO) ☐ View only

*You must have a mobile phone registered to access UC Invest Online.
Single use SMS codes are used to protect your security.*

Telephone Transfer Service (TTS) ☐ Yes

*Transactions requested via the TTS will only occur between this investment
and your **Nominated Account** in **Step 4** of this application.*

2. Signing instructions for joint accounts ONLY

If this section is not completed, we will nominate that **ONLY ONE SIGNATURE** is required to authorise any future transactions.
If you register for the Telephone Transfer Service (TTS) in **Step 1**, any transactions by default will only require one account holder to authorise.

☐ One signature required

☐ Two signatures required

3. Statement delivery method

☐ Online

Authorised signatories must be registered for UC Invest Online.

☐ Post

4. Your nominated account

A **Nominated Account** is your external account at another financial institution where we will:

1. Send any interest payments (**if requested in step 5**)
2. Debit or credit an amount that you request via the Telephone Transfer Service (TTS)
(**if your signatories are registered to use this service in Step 1A & Step 1B**)

The TTS uses a standing Direct Debit Request which authorises UC Invest to debit funds to or from your **Nominated Account** to this investment. The terms and conditions for Direct Debit Requests and the TTS are contained in the UC Invest *Product Disclosure Statement* which is available on our website **ucinvest.com.au**.

Financial Institution Branch

Account Holder

Must be held in the name of at least one account holder

BSB number

Must be 6 digits

Account number

Maximum 9 digits

5. Investment selection

Please tick your choice of investment from the following list:

☐ 31 Day Notice Account

Nickname Opening amount \$
(e.g. Holiday or In trust for – child's name) No Minimum amount

Interest will be credited to your account on the last day of each month.

You must provide at least 31 days' notice of any withdrawal.

☐ Fixed Term Investment

Nickname Opening amount \$
(e.g. Holiday or In trust for – child's name) Minimum amount is \$1,000

Invest for months OR Specify a maturity date / / at % pa

Interest payment options

For terms less than 12 months, interest is paid at maturity.

For terms greater than 12 months, interest is paid six monthly and at maturity.

☐ Add to this investment (default option)

or

☐ Pay interest to my/our UC Invest account Account number

☐ Pay interest to my/our **Nominated Account** with the financial institution listed in **Step 4** of this application.

Frequency ☐ Every month ☐ Every 3 months

☐ Funeral Fund

Opening amount \$
Minimum amount is \$100

Interest will be credited to your account on the last day of each month.

A Funeral Fund account can only be opened in one name. Please complete a separate New Investment Application form for each applicant who requires a Funeral Fund account.

Funds invested in a Funeral Fund account cannot be accessed prior to the death of the account holder.

Capital contributions may be added at any time up to the maximum amount determined by the Federal Government.

6. Funding your new investment

Direct debit request

Please complete and sign the Direct Debit Request below to enable the opening balance amount(s) listed in **Step 5** to be withdrawn from an existing account with either UC Invest or another financial institution.

The terms and conditions for Direct Debit Requests are contained in the *Product Disclosure Statement*, available on our website ucinvest.com.au.

Please debit the opening balance from:

☐ Our UC Invest account **Account number** _____

☐ The financial institution listed below

Financial Institution _____ **Branch** _____

Account Holder _____

Must be held in the name of at least one account holder

BSB number _____

Must be 6 digits

Account number _____

Maximum 9 digits

I/We authorise UC Invest (*Debit User IDs 520962 and 332875*) to debit the opening balance amounts detailed in **Step 5** from our UC Invest account or external bank account noted above.

Authorised Signatory – SIGN BELOW

Authorised Signatory – SIGN BELOW

Full name _____ **Full name** _____

7. Authorisation to open account

I/We request UC Invest to issue the above noted debentures and I/we declare that:

- The details I/we have provided in this application are true and correct.
- I/We understand that this application may be accepted or rejected by UC Invest in its absolute discretion.
- I/We have received, read and accept the terms and conditions contained within the Financial Services Guide (FSG) and Product Disclosure Statement (PDS).
- I/We have previously supplied UC Invest with an Investor Acknowledgement Statement or a signed Investor Acknowledgement Statement accompanies this application.
- I/We consent to the collection, use and disclosure of personal information for the purposes shown in the Notice to Investors / Authorised Signatories.
- I/We undertake to provide UC Invest with any additional information or documentation that UC Invest may request at a future time to ensure compliance with the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (Cth).

Investor A

Authorised Signatory – SIGN BELOW

Investor B (Joint Account Holder ONLY)

Authorised Signatory – SIGN BELOW

Full name _____ **Full name** _____

Date ____ / ____ / ____

Date ____ / ____ / ____

Important Information

Privacy Notice: The Privacy Act allows UC Invest to disclose personal information about you when related to the primary purpose of providing our products and services to you and managing our business. Personal information may include details to identify you and verify your identity, such as your name, date of birth and current address. If you do not provide us with the personal information that we request, we may not be able to consider your application or provide other services.

In some circumstances, we will need to provide your details to other organisations within Australia. This may include external service providers to us, such as organisations which we use to verify your identity, payment systems operators, our lawyers and auditors; your representatives for example, lawyer, financial advisor or attorney, as authorised by you; or government and regulatory authorities if required.

We will not disclose your personal information to third parties overseas. You represent that if at any time you supply us with personal information about another person, you are authorised to do so; and you agree to inform that person as to who we are, how to contact us, and how to obtain our Privacy Policy, and that we will use and disclose their personal information for the purposes set out in this Notice and that they can gain access to that information by contacting us.

We take all reasonable steps to ensure that all your personal information held by us is protected from misuse, interference and loss, and from unauthorised access, disclosure or modification. Our Privacy Policy, which is available at ucinvest.com.au, provides additional information about how we handle your personal information.

Anti-Money Laundering and Counter-Terrorism Financing (AML/CTF) Act 2006 (Cth): By signing this application form you are providing your express consent to collect personal information from you as required by the *AML/CTF Act 2006* and that UC Invest may take steps to verify the personal information it has collected. You consent to the collection, use, handling, disclosure and verification of information, including the use of on-line verification, as required by law. Where UC Invest utilises on-line verification, it will only use the assessment provided by the Reporting Body for the purpose of verifying your identity in accordance with the *AML/CTF Act 2006*.

Disclaimer

Uniting Church SA Investment Fund Ltd ACN 620 095 472 AFSL 501022 ('the Fund') is a public company, limited by guarantee and registered under the *Corporations Act 2001 (Cth)*. The Fund exists for the charitable purpose of supporting the mission of the Uniting Church in Australia. The Fund holds an Australian Financial Services licence (AFSL) number 501022 authorising it to deal in, and provide general financial product advice in relation to, securities (which include debentures) and non-cash payment products. It also operates under an instrument made by ASIC the effect of which is to exempt it from the requirement to comply with Parts 6D.2 and 6D.3 of the *Corporations Act 2001* in relation to offers to issue debentures (ASIC Corporations (Charitable Investment Fundraising) Instrument 2016/813). Our Charitable Investment Fundraiser Identification Statement provides more information and explains how investment funds are used to support the charitable purposes of the Fund. Our AFS licence does not authorise us to provide personal advice. Before acquiring any product or service of UC Invest you should read our Product Disclosure Statement (PDS) and consider the appropriateness of the product or service, having regard to your particular objectives, financial situation and needs.

Pursuant to the Australian Prudential Regulation Authority (APRA) Banking Exemption No.1 of 2021, the Fund is not prudentially supervised by APRA. Therefore, an investor in the Fund will not receive the benefit of the financial claims scheme or depositor protection provisions of the *Banking Act 1959 (Cth)*. Investments in the Fund are intended to be a means for investors to support the charitable purposes of the Fund. The Fund is required by law to advise investors that investments in it are only intended to attract investors whose primary purpose for making their investment is to support the charitable purposes of the Fund, that investors may be unable to get some or all of their money back when they expect or at all, that investments are not subject to the usual protections for investors under the *Corporations Act 2001* or regulation by the Australian Securities and Investments Commission, and that the investment is not comparable to investments with banks, finance companies or fund managers. Unless otherwise specified, the products and services listed are only available in Australia.

UC Invest

A trading name of Uniting Church SA Investment Fund
ABN: 46 620 095 472 | AFSL: 501022

Registered office

Level 2, 212 Pirie Street, Adelaide SA 5000
GPO Box 2145, Adelaide SA 5001

1300 274 151
info@ucinvest.com.au